

2161
B

P/2167-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Lloyd A. ADAMS et al.

Serial No.: 09/366,135

Filed: August 2, 1999



New York, New York

Date: January 18, 2001

Group Art Unit: 2761

Examiner: --

RECEIVED

JAN 26 2001

Technology Center 2100

For: NETWORK BASED FINANCIAL TRANSACTION PROCESSING SYSTEM

Assistant Commissioner for Patents

Washington, D.C. 20231

Transmitted herewith is an amendment in the above-identified application.

___ "Small Entity" status (37 C.F.R. §1.9 & §1.27) established

___ previously ___ by enclosed verified statement.

OFGS Check No. ___, which includes the fee of -0- calculated below, is attached.

NO. CLAIMS		HIGHEST NO.						ADDIT.	
AFTER		PREVIOUSLY						FEE	
AMENDMENT		PAID FOR		EXTRA PRESENT		RATE			
TOTAL	32	MINUS	68	* =	0	X	(\$9 SE or \$18)	\$	0.00
INDEP.	3	MINUS	7	** =	0	X	(\$40 SE or \$80)	\$	0.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						X	(\$135 SE or \$270)	\$	0.00
* not less than 20		** not less than 3				TOTAL \$		0.00	

#3/Pr Arndt
J2 Hayn
2b1

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. §1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. §1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 18, 2001:

Steven I. Weisburd
Name of applicant, assignee or
Registered Representative

Signature
January 18, 2001
Date of Signature

SIW:lac

Respectfully submitted,

Steven I. Weisburd
Registration No.: 27,409
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, New York 10036-8403
Telephone: (212) 382-0700



P/2167-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

New York, New York

Lloyd A. ADAMS et al.

Date: January 18, 2001

Serial No.: 09/366,135

Group Art Unit: 2761

Filed: August 2, 1999

Examiner: --

For: NETWORK BASED FINANCIAL TRANSACTION PROCESSING SYSTEM

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination of the above application, please amend the claims
as follows:

IN THE CLAIMS:

Cancel claims 1-68 and substitute therefor the following new claims 69-100:

--69. A system for processing financial transactions, said system comprising:

a plurality of financial transaction accounting systems;

a parsing processing server;

a user terminal permitting an operator to enter a group of transaction data sets into

5 said parsing processing server, each transaction data set comprising an amount and an account
number and being associated with a respective one of said financial transaction accounting
systems, at least two of said transaction data sets in said group being associated with a different
said financial transaction accounting system;

10 said parsing processing server receiving said group of transaction data sets,
determining if said group was correctly entered and, if so, sending each transaction data set in
said bundle to that said financial transaction accounting system with which it is associated.

70. The system according to Claim 69, wherein said parsing processing server
receives said transaction data sets from said user terminal across a first communication network
and communicates with said financial transaction accounting system across a second network.

RECEIVED
JAN 26 2001
Technology Center 2100

B1